

11/6/06

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
 ( Not for submission under 37 CFR 1.99)

Application Number	09691392	
Filing Date	2000-10-17	
First Named Inventor	Ronald Katz	
Art Unit	3627	
Examiner Name	Florian M. Zeender	
Attorney Docket Number	PAT-009C	

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	2	5442771		1995-08-15	Filepp et al	
	3	7072849	B1	2006-07-04	Filepp et al	
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Signature	/Raffi Gostanian/	Date (YYYY-MM-DD)	2006-11-06
Name/Print	Raffi Gostanian	Registration Number	42595

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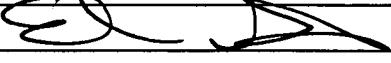
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	3	4845658		1989-07-04	Gifford	
	4	6598026		2003-07-22	Herz	
	5	6823319		2004-11-23	Lynch	
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	3	20040143838		2004-07-22	Rose	
	4	20030196204		2003-10-16	Thiagarajan	
	5	20010014868		2001-08-16	Herz	
	6	20020026496		2002-02-28	Boyer	
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<i>gj</i>	1	LEVINE, KAY. Anchorage Daily News. "Troubleshooter; [Final Edition 30]. Anchorage, Alaska. July 16, 1991. pg. B.2.	<input checked="" type="checkbox"/>
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	5	KISLIK, ELIZABETH. Catalog Age. "Beyond the thought that counts". New Canaan. July 1997. Vol. 14, Iss. 7; pg. 199, 2 pgs.	<input checked="" type="checkbox"/>
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Application Number	09691392	
Filing Date	2000-10-17	
First Named Inventor	Ronald Katz	
Art Unit	3627	
Examiner Name	Steven B. McAllister	
Attorney Docket Number	PAT-009C	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
<i>Ca2</i>	1	6567787		2003-05-20	Walker	
	2	5010485		1991-04-23	Bigari	
	3	4812628		1989-03-04	Boston	
	4	5878403		1999-03-02	DeFrancesco	
	5	6029149		2000-02-22	Dykstra	
	6	5914472		1999-06-22	Foladare	
	7	6138911		1991-04-23	Fredregill	
↓	8	6199762		2001-03-13	Hohle	

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9	6055513		2000-04-01	Katz	
10	5890137		1999-03-30	Koreeda	
11	5732400		1998-03-24	Mandler	
12	5940809		1999-08-17	Musmanno	
13	5870721		1999-02-09	Norris	
14	5679940		1997-10-21	Templeton	
15	6088686		2000-07-11	Walker	
16	6226624		2001-05-01	Watson	
17	6135349		2000-10-24	Zirkel	
18	6553178		2003-04-22	Abecassis	
19	6075551		2000-06-13	Berezowski	

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20	6773351		2004-08-10	Brown	
21	6134309		2000-10-17	Carson	
22	5566353		1996-10-15	Cho	
23	5983069		1999-11-09	Cho	
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28	6169542		2001-01-02	Hooks	
29	5500681		1996-03-19	Jones	
30	4967366		1990-10-30	Kaehler	

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31	6078896		2000-06-20	Kaehler	
32	5515130		1996-06-09	Long	
33	5761601		1998-06-02	Nemirofsky	
34	6202334		2001-03-20	Reynolds	
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36	6718551		2004-04-06	Swix	
37	6546193		2003-04-08	Um	
38	6756997		2004-06-29	Ward, III	
39	6351736		2002-02-26	Weisberg	
40	6701317		2004-03-02	Wiener	
41	6698020		2004-02-24	Zigmond	

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<i>EJ</i>	42	6704727		2004-03-09	Kravets	
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<i>EJ</i>	1	TEDESCHI, BOB, E-Commerce Report; Demand Among Marketers For Advertising Next To Search Results Could Soon Outpace Supply, The New York Times, 07-19-2004.	<input checked="" type="checkbox"/>
<i>J</i>	2	CMF: ASSOCIATED PRESS; "Telemarketing Firm Agrees to Alter Sales Policy," Times Union, 09-19-2002, 2 pgs.	<input checked="" type="checkbox"/>
<i>J</i>	3	BLOOMBERG; "Web Sites Help Consumers Shop for Hard-to-find Credit Card Deals," Las Vegas Journal, 02-28-1999, 3 pgs.	<input checked="" type="checkbox"/>

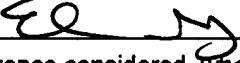
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4	FITZGERALD, KATE; "Putting Tech Into Telemarketing," Credit Card Management, 07-1999, v12n4pg34, 8 pgs.	<input checked="" type="checkbox"/>
5	CMF: LUMPUR, KUALA; "Credit Card Ties Up With Alumni Life," 01-02-1996, 2 pgs.	<input checked="" type="checkbox"/>
6	MEECE, MICKEY; "Fertile Sales Turf: Fee-Based Card Services Memberworks' Gary Johnson Counts the Way He Can Sell to Cardholders," American Banker, v162n68pg15, 4 pgs.	<input checked="" type="checkbox"/>
7	STEEL, JAMES; "Combating Counterfeit Credit Cards: The Technological Challe," Credit World, May/June 1995, v83n5pg16, 3 pgs.	<input checked="" type="checkbox"/>
8	THRONE, ADAM; "Captial One's Call Center Soars to New Heights," Call Center Magazine, March 2001, v14n3pg84, 4 pgs.	<input checked="" type="checkbox"/>
9	NETT, WALT, Sweet Deals Can Lull The Unaware Credit Card User, Arizona Daily Star, 06-19-1994, pg. 1.D.	<input checked="" type="checkbox"/>

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Examiner Signature  Date Considered 1/15/07

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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First Named Inventor	Ronald Katz
Art Unit	3627
Examiner Name	Steven B. McAllister
Attorney Docket Number	PAT-009C

**CERTIFICATION STATEMENT**

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See attached certification statement.  
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Raffi Gostanian/	Date (YYYY-MM-DD)	2006-03-31
Name/Print	Raffi Gostanian	Registration Number	42595

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Application Number	09691392	
Filing Date	2000-10-17	
First Named Inventor	Ronald Katz	
Art Unit	3627	
Examiner Name	Steven B. McAllister	
Attorney Docket Number	PAT-009C	

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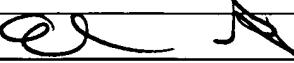
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	1	Santi, Albert, Encyclopedia of Mortgage and Real Estate Finance, 01-1998, Mortgage Bankers Association of America's Real Estate Finance Press, Revised Edition, pgs. 213 & 218.	<input checked="" type="checkbox"/>
If you wish to add additional non-patent literature document citation information please click the Add button <input type="button" value="Add"/>			
<b>EXAMINER SIGNATURE</b>			
Examiner Signature		Date Considered	1/15/07
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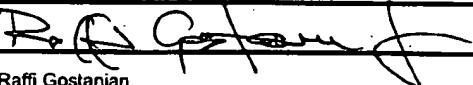
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	Application Number	09/691,392
	Filing Date	October 17, 2000
	First Named Inventor	Ronald Katz
	Art Unit	3627
	Examiner Name	Steven B. McAllister
	Attorney Docket Number	PAT-009C

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<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		
03/03/2006 AKL_ECH1 00000089 09691392 01 FC:1806 180.00 DP		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	West Corporation		
Signature			
Printed name	Raffi Gostanian		
Date	3/1/06	Reg. No.	42,595

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	michele Zarinelli		
Typed or printed name	Michele Zarinelli	Date	03-01-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAR 1 2006

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

180.00

## Complete if Known

Application Number	09/691,392
Filing Date	October 17, 2000
First Named Inventor	Ronald Katz
Examiner Name	Steven B. McAllister
Art Unit	3627
Attorney Docket No.	PAT-009C

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

 Deposit Account Deposit Account Number: 501880 Deposit Account Name: West Corporation

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

## 2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Small Entity Fee (\$)	Fee (\$)
- 20 or HP =	x	=		50	25

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	=				

HP = highest number of independent claims paid for, if greater than 3.

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		

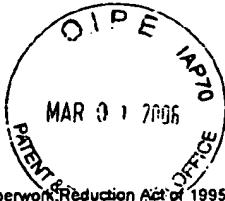
4. OTHER FEE(S)	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): Information Disclosure Statement	180.00

## SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 42,595	Telephone 972.849.1310
Name (Print/Type)	Raffi Gostanian		Date 3/1/06

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
to a collection of information unless it contains a valid OMB control number.

**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Shee

of 1

**Complete if Known**

### Complexes

Complete W

October 17, 2000

P-AW-1

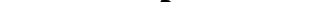
### Review Panel

5027

Attorney Docket Number

BAT 009C

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	1/15/07
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.**

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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